



Great American Advisors (GAA), a wholly owned subsidiary of Great American Financial Resources®, Inc. (NYSE: GFR), is committed to providing exceptional support and service to our clients. We recognize the potential of certain uncontrollable events that could cause varying intensities of interruption to our normal business processes. Furthermore, we acknowledge the responsibility we have to all our customers to continue critical operations during uncontrollable disruptive events.

For that reason, GAA has developed and maintains a business continuity plan, including redundant data centers and alternate processing facilities, to address interruptions to our normal course of business. These plans are reviewed annually and updated as necessary.

Our plans outline the actions we will take in the event of a building, city-wide, or regional incident, including relocating technology and operational personnel to pre-assigned alternate regional facilities. Technology data processing can also be switched to an alternate regional data center.

In the event of an internal or external significant business disruption, our recovery time objective for business resumption, including those involving a relocation of personnel or technology, is 48 hours.

Although GAA is an introducing broker/dealer and does not maintain custody of clients' funds or securities, specific assistance in accessing your funds or securities may be obtained via:

Telephone: (800) 216-3354 or (513) 333-6030
Facsimile: (513) 412-5109 or (513) 333-6050
Email: gaa@gaadvisors.com
Postal Mail: Great American Advisors, Inc.
525 Vine Street, 21st Floor
Cincinnati, OH 45202

P.O. Box 357
Cincinnati, OH 45201-0357

Or, through your local representative

Thank you for choosing GAA as your financial services partner. We look forward to continuing to meet your financial needs.